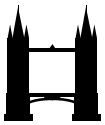

Tower Bridge Advisors

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Brochure Supplement

(Part 2B of Form ADV)

This brochure supplement provides information about Maris Ogg, James Meyer, Robert Whalen, Christopher Gildea, Dan Rodan, Christopher Crooks and Michael Adams, all of whom provide investment advice on behalf of Tower Bridge Advisors. This information supplements the Tower Bridge Advisors, Inc. brochure that you should have already received. Please contact Jeffrey Kachel, jkachel@towerbridgeadvisors.com, if you did not receive Tower Bridge Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about certain of the individuals named in this supplement is available on the SEC's website at www.adviserinfo.sec.gov.

This brochure supplement was last updated 11/29/2023

Brochure Supplement

Maris Ann Ogg, CFA[1]

Educational Background:

- BS, Ohio State University, 1974;
- MBA, Ohio State University, 1977

Business Experience:

- Director, Tower Bridge Advisors, Inc., 2023 - date
- President, Principal, Tower Bridge Advisors, Inc., 2001 - 2023
- Director of Research, Boenning & Scattergood, 1999 - 2001
- President, Radnor Capital Management, 1998 - 1999
- President, Custodian Securities, 1992 – 1999

Year of Birth: 1952

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Maris Ogg's advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Ms. Ogg's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Gildea by phone, at 610.260.2200, or by email at cgildea@towerbridgeadvisors.com.

James Meyer, CFA

Educational Background:

- BS, Wharton School of Finance of the University of Pennsylvania, 1968
- MBA, New York University, 1973

Business Experience:

- CEO, Tower Bridge Advisors, Inc., 2023 - date
- Co-Chief Investment Officer, Tower Bridge Advisors, Inc. 2020 – 2023
- Principal, Tower Bridge Advisors, Inc. 2001 – date

[1] Please see page 5 below for an explanation of the CFA designation.

- Chief Compliance Officer, Tower Bridge Advisors, Inc. 2001 – 2021
- Chief Investment Officer, Tower Bridge Advisors, Inc. 2001 - 2020
- Director of Research, Janney Montgomery Scott, 1973 - 2001

Year of Birth: 1946

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

James Meyer's advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Mr. Meyer's work through office interactions as well as through the firm's compliance procedures and code of ethics You can contact Mr. Gildea by phone, at 610.260.2200, or by email at cgildea@towerbridgeadvisors.com.

Robert Whalen

Educational Background:

- AB, Villanova University, 1969
- MBA, Southern Illinois University, 1973

Business Experience:

- Managing Director, Principal, Tower Bridge Advisors, Inc. 2006 - date
- Portfolio Manager, The Swarthmore Group 2000 - 2006

Year of Birth: 1947

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Robert Whalen's advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Mr. Whalen's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Gildea by phone, at 610.260.2200, or by e-mail at cgildea@towerbridgeadvisors.com.

Christopher Gildea

Educational Background:

- BS, Economics & Operations Management, Boston College, 1992

- JD, Suffolk University Law School, 1997
- MBA, Purdue University, 2004

Business Experience:

- Secretary, Co-Chief Investment Officer, Tower Bridge Advisors, Inc. 2023 – date
- Chief Compliance Officer, Principal – 2021 - date
- Senior Portfolio Manager, Tower Bridge Advisors, Inc. 2018 – date
- Portfolio Manager, Portview Partners / Portsmith Capital Fund, 2014 – 2018
- Analyst / Portfolio Manager, Merit Investment Management, 2010 – 2014
- Analyst, Gardner Lewis Asset Management, 2004 - 2010

Year of Birth: 1969

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Christopher Gildea's advisory activities are supervised by James Meyer, Tower Bridge's CEO. He reviews Mr. Gildea's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by email at jmeyer@towerbridgeadvisors.com

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Dan Rodan

Educational Background:

- BS Finance, Virginia Tech, 2003

Business Experience:

- Portfolio Manager, Tower Bridge Advisors, Inc. 2018 - date
- Portfolio Manager, Valley Forge Asset Management / Sterling Advisors 2004 -2018

Year of Birth: 1981

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Dan Rodan's advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Mr. Rodan's work through office interactions as well as through the firm's compliance

procedures and code of ethics. You can contact Mr. Gildea by phone, at 610.260.2200, or by e-mail at cgildea@towerbridgeadvisors.com.

Christopher Crooks, CFA, CFP®[2]

Educational Background:

- BS, Chem. Engineering, Drexel University, 1989
- MBA, Villanova University, 1994

Business Experience:

- Co-Chief Investment Officer, Tower Bridge Advisors Inc., 2023- date
- Senior Portfolio Manager, Tower Bridge Advisors, Inc. 2020 – date
- Financial Advisor, Bank of America Corp., f/k/a Merrill Lynch, Pierce, Fenner & Smith, 2019-2020
- Senior Portfolio Manager, AlphaOne Capital Partners LLC, 2009-2019
- Portfolio Manager, Oppenheimer Funds, 2003-2009

Year of Birth: 1966

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Christopher Crooks's advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Mr. Crooks's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Gildea by phone, at 610.260.2200, or by e-mail at cgildea@towerbridgeadvisors.com.

Mike Adams

Educational Background:

- BS Finance, LaSalle University, 1982
- MBA, LaSalle University, 1988

Business Experience:

- Senior Portfolio Manager, Tower Bridge Advisors, Inc. 2020 – date
- Senior Vice President, BB&T Securities, LLC, 2016-2020
- Portfolio Manager, Sterling Advisors f/k/a Stratton Management Company, 1998-2016

Year of Birth: 1956

[2] Please see page 5 below for an explanation of the CFP designation.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Mike Adams' advisory activities are supervised by Christopher Gildea, Tower Bridge's Chief Compliance Officer. He reviews Mr. Adams' work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Gildea by phone, at 610.260.2200, or by e-mail at cgildea@towerbridgeadvisors.com.

The Chartered Financial Analyst Designation

The Chartered Financial Analyst (CFA) designation is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance.

The designation is an international professional certification offered by the CFA Institute to financial analysts who complete a series of examinations. To become a CFA charter holder, candidates must pass each of three, six-hour exams; possess a bachelor's degree from an accredited institution; and have 48 months of qualified professional work experience. CFA charter holders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct. [*www.cfainstitute.org]*

The Certified Financial Planner Designation

The Certified Financial Planner (CFP®) designation is granted by Certified Financial Planner Board of Standards, Inc. ("CFP Board") and entails the following requirements:

- Education – (1) A bachelor's degree or higher from an accredited college or university; and (2) completion of coursework on financial planning through a CFP Board Registered Program. Most of the coursework requirement may be waived for an applicant that holds another specified degree, license or credential, including, but not limited to, a CFA.
- Examination – Pass a one-day exam consisting of two 3-hour sessions that include stand-alone and scenario-based questions, as well as questions associated with case studies.
- Experience – Complete either 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics – Commit to compliance with CFP Board's *Code of Ethics and Standards of Conduct*.
- Ongoing certification renewal and continuing education requirements.

Violation of the foregoing requirements may result in the suspension or revocation of a financial professional's CFP® certification.