



## **Tower Bridge Advisors**

**Tower Bridge Advisors, Inc.  
101 West Elm Street Suite 355  
Conshohocken, PA 19428  
610-260-2200  
610-260-2239  
[www.towerbridgeadvisors.com](http://www.towerbridgeadvisors.com)**

### **Brochure Supplement** (Part 2B of Form ADV)

This brochure supplement provides information about Maris Ogg, James Meyer, James Vogt, Raymond Reed, Robert Whalen, Christopher Gildea and Dan Rodan, all of whom provide investment advice on behalf of Tower Bridge Advisors. This information supplements the Tower Bridge Advisors, Inc. brochure that you should have already received. Please contact James Meyer, Chief Compliance Officer, if you did not receive Tower Bridge Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about certain of the individuals named in this supplement is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

This brochure supplement was last updated 4/03/2020

## Brochure Supplement

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### Maris Ann Ogg, CFA<sup>1</sup>

Educational Background:

- BS, Ohio State University, 1974;
- MBA, Ohio State University, 1977

Business Experience:

- President, Principal, Tower Bridge Advisors, Inc. 2001 - date
- Director of Research, Boenning & Scattergood, 1999 - 2001
- President, Radnor Capital Management, 1998 - 1999
- President, Custodian Securities, 1992 – 1999

Year of Birth: 1952

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Maris Ogg's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Ms. Ogg's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by email at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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### James Meyer, CFA

Educational Background:

- BS, Wharton School of Finance of the University of Pennsylvania, 1968
- MBA, New York University, 1973

Business Experience:

- Chief Investment Officer, Chief Compliance Officer, Principal, Tower Bridge Advisors, Inc. 2001 – date
- Director of Research, Janney Montgomery Scott, 1973 - 2001

Year of Birth: 1946

Disciplinary Information: None

Other Business Activities: None

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<sup>1</sup> Please see page 4 below for an explanation of the CFA designation.

Additional Compensation: None

Supervision:

James Meyer's advisory activities are supervised by Maris Ogg, Tower Bridge's President. She reviews Mr. Meyer's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Ms. Ogg by phone, at 610.260.2205, or by email at [mogg@towerbridgeadvisors.com](mailto:mogg@towerbridgeadvisors.com)

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## **James Vogt**

Educational Background:

- BS Finance, Rutgers University, 1998
- MBA, Villanova University, 2003

Business Experience:

- Senior Portfolio Manager, Tower Bridge Advisors, Inc. 2016 - date
- Co-Chief Investment Officer, Valley Forge Asset Management 1998 – 2016
- Research Analyst, Merrill Lynch, 1997 – 1998

Year of Birth: 1975

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

James Vogt's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Mr. Vogt's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by e-mail at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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## **Raymond Reed, CFA**

Educational Background:

- BA, University of Pennsylvania, 1976
- MBA, New York University, 1981

Business Experience:

- Managing Director, Principal, Tower Bridge Advisors, Inc. 2004 - date
- Portfolio Manager, Kalmar Investments, 1999 - 2004

Year of Birth: 1955

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Raymond Reed's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Mr. Reed's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by e-mail at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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### **Robert Whalen**

Educational Background:

- AB, Villanova University, 1969
- MBA, Southern Illinois University, 1973

Business Experience:

- Managing Director, Principal, Tower Bridge Advisors, Inc. 2006 - date
- Portfolio Manager, The Swarthmore Group 2000 - 2006

Year of Birth: 1947

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Robert Whalen's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Mr. Whalen's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by e-mail at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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### **Christopher Gildea**

Educational Background:

- BS, Economics & Operations Management, Boston College, 1992
- JD, Suffolk University Law School, 1997
- MBA, Purdue University, 2004

Business Experience:

- Senior Portfolio Manager, Tower Bridge Advisors, 2018 – date
- Portfolio Manager, Portview Partners / Portsmouth Capital Fund, 2014 – 2018
- Analyst / Portfolio Manager, Merit Investment Management, 2010 – 2014

- Analyst, Gardner Lewis Asset Management, 2004 - 2010
- Year of Birth: 1969

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Christopher Gildea's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Mr. Gildea's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by e-mail at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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### **Dan Rodan**

Educational Background:

- BS Finance, Virginia Tech, 2003

Business Experience:

- Portfolio Manager, Tower Bridge Advisors, Inc. 2018 - date
- Portfolio Manager, Valley Forge Asset Management / Sterling Advisors 2004 -2018

Year of Birth: 1981

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Dan Rodan's advisory activities are supervised by James Meyer, Tower Bridge's Chief Compliance Officer. He reviews Mr. Rodan's work through office interactions as well as through the firm's compliance procedures and code of ethics. You can contact Mr. Meyer by phone, at 610.260.2200, or by e-mail at [jmeyer@towerbridgeadvisors.com](mailto:jmeyer@towerbridgeadvisors.com)

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## **The Chartered Financial Analyst Designation**

The Chartered Financial Analyst (CFA) is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. The program focuses on portfolio management and financial analysis, and provides a general knowledge of other areas of finance.

The designation is an international professional certification offered by the CFA Institute to financial analysts who complete a series of examinations. To become a CFA charter holder, candidates must pass each of three, six-hour exams; possess a bachelor's degree from an accredited institution; and have 48 months of qualified professional work experience. CFA charter holders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct. [[www.cfainstitute.org](http://www.cfainstitute.org)]